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I&R POLICY AND ORGANIZATION Section: 100-4 APPENDIX A

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Issuing Office: Office of Research Affairs

Appendix A Other Policies and Disciplinary Procedures Relevant to Integrity of Research

University of California Integrity of Research Policy

 University of California Policy on Integrity of Research: https://policy.ucop.edu/doc/2500496/IntegrityInResearch

Academic Appointee Discipline and Grievances

- University of California Faculty Code of Conduct, Academic Personnel Manual: https://www.ucop.edu/academic-personnel-programs/_files/apm/apm-015.pdf
- University of California Policy on Faculty Conduct and the Administration of Discipline, Academic Personnel Manual 016: <u>APM - 016 University Policy on Faculty Conduct and the Administration</u> of Discipline (ucop.edu)
- University of California Policy on Corrective Action and Dismissal of Non-Senate Academic Appointees, Academic Personnel Manual 150: https://www.ucop.edu/academic-personnel-programs/ files/apm/apm-150.pdf
- UC San Diego Policy on Grievances of Non-Senate Academic Appointees, PPM 230-5: http://adminrecords.ucsd.edu/PPM/docs/230-5.HTML

Student Integrity of Scholarship Policy

 UC San Diego Policy on Integrity of Scholarship (this policy applies to academic course work for both undergraduate and graduate students): http://senate.ucsd.edu/Operating-Procedures/Senate-Manual/Appendices/2

Student Discipline and Grievances

- University of California Policies Applying to Campus Activities, Organizations, and Students, Section 100.00 Student Conduct and Discipline: https://policy.ucop.edu/doc/2710530/PACAOS-100
- UC San Diego Student Conduct Procedures: http://adminrecords.ucsd.edu/ppm/docs/160-10.html
- UC San Diego Graduate Student Appeals, <u>Graduate Student Handbook</u>, Conflict Resolution and Student Appeals: http://gradlife.ucsd.edu/health-wellbeing/conflict-resolution/index.html

Postdoctoral Scholars Discipline and Grievances

- UC San Diego Policy on Postdoctoral Scholar Corrective Action and Discipline (see section 390-50): https://www.ucop.edu/academic-personnel-programs/_files/apm/apm-390.pdf
- UC San Diego Policy on Postdoctoral Scholar Grievances (see section 390-40): https://www.ucop.edu/academic-personnel-programs/ files/apm/apm-390.pdf

Employee Discipline and Grievances

- Procedures for administration of discipline for staff employees in accordance with applicable personnel policies
 - Bargaining Units and Contracts are available at: https://ucnet.universityofcalifornia.edu/labor/bargaining-units/index.html
 - Personnel Policies for Staff Members are available at: https://policy.ucop.edu/manuals/personnel-policies-for-staff-members.html

University of California San Diego Policy – PPM 100 – 4 Appendix A PPM 100 – 4 Appendix A Other Policies and Disciplinary Procedures Relevant to Integrity of Research

Extramural Agency Policies

Applicable policies or regulations concerning research fraud and unethical conduct issued by federal, State, and private agencies from which UC San Diego has accepted research funding. Such regulations include Public Health Service (PHS) Policies on Research Misconduct – 42 CFR Part 93 – June 2005 (https://ori.hhs.gov/FR_Doc_05-9643), and the National Science Foundation regulations on Misconduct in Science and Engineering Research (45 CFR Part 689) (https://www.nsf.gov/oig/regulations/)

Whistleblower Policy

- University of California Policy on Reporting and Investigating Allegations of Suspected Improper Governmental Activities: https://policy.ucop.edu/doc/1100171/Whistleblower
- University of California Policy for Whistleblowers Protection: https://policy.ucop.edu/doc/1100563/WPP
- Protection of Whistleblowers from Retaliation UC San Diego Implementing Procedure: http://adminrecords.ucsd.edu/ppm/docs/200-14.html

Professional Society Statements of Professional Ethics and Responsibility

• In considering Allegations of Research Misconduct, the University will, if it deems it to be appropriate, consider the statements of professional ethics and responsibility of the professional society of which a Respondent is a member.